#4

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	CASE NO. SRI/4438	SERIAL NO. 09/894,898
INFORMATION DISCLOSURE STATEMENT *(Use several sheets if necessary)*		APPLICANT BUTZBERGER	
		FILING DATE June 28, 2001	GROUP ~~2841~~ 2654

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MP.		5,699,456	12/16/97	Brown, et al	382		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

OTHER *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
	7-20-04

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#3

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	CASE NO. SRI/4438	SERIAL NO. 09/894,898
INFORMATION DISCLOSURE STATEMENT *(Use several sheets if necessary)*		APPLICANT Butzberger	
		FILING DATE 6/28/01	GROUP ~~2641~~ 2654

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED SEP 26 2001 Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

OTHER *(Including Author, Title, Date, Pertinent Pages, Etc.)*

NP			S.J. Young, et al., "Token Passing: A Simple Conceptual Model for Connected Speech Recognition Systems", Cambridge University Engineering Department, July 31 1989, pages 1-23.
			X.D. Huang, Y. Ariki, M.A. Jack, "Hidden Markov Models for Speech Recognition", Edinburgh University Press, 1990, chapter 2.

EXAMINER	DATE CONSIDERED
	7-20-04

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